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DATE: July 11, 2018

TO: All Medicare Advantage Organizations, PACE Organizations, Medicare-Medicaid Plans, Section 1833 Cost Contractors and Section 1876 Cost Contractors, and Demonstrations

FROM: Jennifer Harlow, Deputy Director, Medicare Plan Payment Group

SUBJECT: Final 2016 Risk Adjustment Deadline and MAO-004 Reports - Update

CMS is extending the deadline for the submission of encounter data for Payment Year (PY) 2016 in order to assist in ensuring payment accuracy. This extension will allow MAOs sufficient time to review their Phase III Version 3 MAO-004 Reports. CMS is extending the encounter data submission deadline to September 14, 2018 from the current deadline of August 2, 2018, which was announced in the February 20, 2018 HPMS memo “CORRECTION: Updated Announcement Regarding Encounter Data Deadlines for Payment Years 2016 and 2017 Final Reconciliation”.

The table below presents the schedule for distribution of the remaining Phase III Version 3 MAO-004 reports.

Table 1. Distribution Dates for Phase III Version 3 MAO-004 Reports (Encounter data with Dates of Service on or after January 1, 2014)

Encounter Data Submission Date	Phase III Version 3 MAO-004 Report Distribution Date
Jan 1, 2014 - Feb 28, 2018	Apr 7, 2018 - Jun 8, 2018
Mar 1, 2018 - May 31, 2018	Week of Jul 23, 2018
Jun 1, 2018 – Jun 30, 2018	Week of Jul 30, 2018

The final PY2016 risk scores will use diagnoses submitted to RAPS as of January 31, 2017, RAPS deletes submitted between January 31, 2017 and September 14, 2018, and diagnoses from encounter data records submitted by September 14, 2018. As the final risk adjustment data submission deadline for PY2016 is now September 14, 2018, deletes submitted through September 14, 2018 are not considered overpayments as defined in Section 1128J(d) of the Social Security Act and 42 CFR §422.326. Therefore deletes submitted by September 14, 2018 do not need to be reported in the Risk Adjustment Overpayment Reporting module in HPMS. We will provide information about the payment for the final PY 2016 reconciliation shortly.

CMS and MAOs have identified discrepancies between some of the data submitted January 2014 through February 2018 and data reported on the Phase III version 3 MAO-004 report for the

relevant months. Overall, relatively few records were affected. These issues will be addressed when we conduct reruns of Payment Year 2015, 2016, and 2017 risk scores. Additional details regarding which records were affected and how those records were affected will be presented in the July 2018 RAPS and Encounter Data user group webinar, and will be included in the slides that are posted at csscooperations.com.

If you have questions regarding this memo, please email riskadjustment@cms.hhs.gov, with the subject line “Final 2016 Risk Adjustment Deadline and MAO-004 Reports - Update.”